

Supporting researchers in HE – champions and collaborators with a common goal

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CISPC

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University of Huddersfield

University of
HUDDERSFIELD
Inspiring global professionals

- Young Men's Mental Improvement Society (1841)
- Huddersfield Polytechnic (1970)
- University of Huddersfield (1992)
- 19,300 students
- 5100 Researchers
- 900 academic staff
- 1000 support staff
- 7 schools



School Structure

- Applied Sciences
- Art Design & Architecture
- Business
- Computing & Engineering
- Education
- Human and Health Sciences
- Music, Humanities & Media



Aims

University of
HUDDERSFIELD
Inspiring global professionals

of
ELD
ionals



Values

We will work as a **team** to provide an **excellent** service to all of the **communities** we support.

ing
Award

Key aims of research agenda

- Increase our international recognitions via the volume and quality of research outputs
- Increase our research and knowledge exchange income
- Become a focus for productivity improvement, impact and enterprise

Key Performance Indicators



Values

We will work as a **team** to provide an **excellent** service to all of the **communities** we support.

50+ Research Centres & Institutes

- CeReNeM: Centre for Research in New Music
- Fashion Thinking Research Centre
- Institute of Railway Research (IRR)
- Secure Societies Institute
- Institute of Skin Integrity and Infection Prevention
- Centre for Engineering Materials (CEM)
- Global Disaster Resilience Centre
- Huddersfield Centre for Research in Education and Society (HudCRES)
- <https://research.hud.ac.uk/>

Library

- Advice and guidance on Green and Gold OA
- Payments of APCs
- Outreach and advocacy
- Reporting to JISC
- Manage Eprints repository for theses and outputs prior to 2014
- <https://hud.libguides.com/openaccess>

Research Office / Schools

- Manage Pure & post 2014 outputs
- Check compliancy
- Research Data Management
- Reporting to UKRI
- All 7 schools have a research administration team to support staff
- <https://pure.hud.ac.uk/>

Supporting Open Access

University of
HUDDERSFIELD Subject guides

Library / LibGuides / Open Access / Introduction

Open Access: Introduction

A guide to Open Access at the University of Huddersfield

Search this Guide

Introduction | Article Processing Charges (APCs) | Pure | Eprints Repository | The Game of Open Access

Pure - 1st December 2017

With effect from the **1 December 2017**, **Pure** is the repository where University researchers are required to deposit their research outputs. In order to comply with the **REF 2021** Open Access policy, you must deposit your author accepted manuscript within 3 months of the date of acceptance for all Journal Articles and Conference proceedings.

New staff research outputs should be added to **Pure**, not to Eprints.

Contact Us

For help and advice

- on any Open Access query, please email openaccess@hud.ac.uk
- on Pure, please email pure@hud.ac.uk
- on ePrints, please email openaccess@hud.ac.uk

What is Open Access?



Open Access (OA) refers to material that is free to all readers at the point of use. There are two routes into Open Access - gold or green (see below)

Librarian



Open Access Team

[Email Me](#)

University Open Access Policy

The University Open Access policy is designed to ensure that the University of Huddersfield meets the Open Access requirements of the next Research Excellence Framework (REF).

<https://www.hud.ac.uk/media/policydocument/Access-Policy.pdf>

Your route to Open Access publication

Our Open Access Policy

The University of Huddersfield supports Open Access to disseminate our research outputs as widely as possible. All peer-reviewed articles and conference papers should be submitted to the University Repository within 3 months of the acceptance date. The University supports both Green and Gold Open Access.

Green Route to Open Access

Archive an accepted version of your article in the University Repository after publication. An "accepted version" is the final peer-reviewed text of your article without the publisher's typesetting. An embargo period of 6-24 months will be applied by the publisher; any output will still be REF compliant. This form of Open Access publishing is free. There are no APCs or additional charges for authors.

Gold Route to Open Access

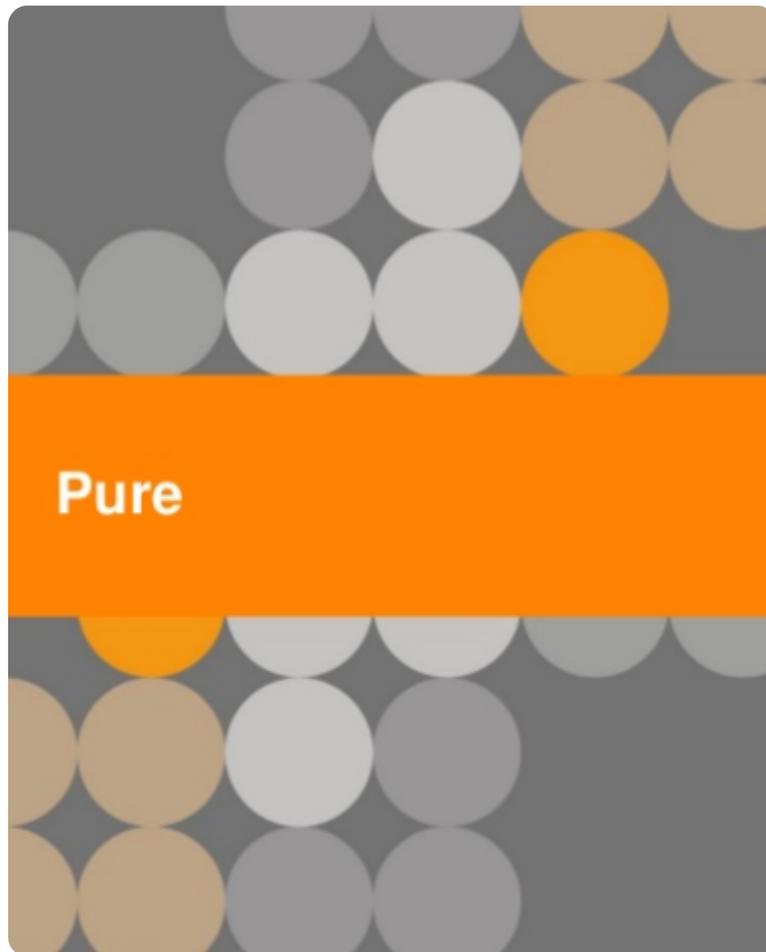
A final published version is available for anyone to read immediately after publication. The publisher's PDF of your article can be deposited in the Repository. No embargo periods apply. This form of Open Access publishing often involves APCs (Article Processing Charges) to be paid to the publisher. If your work is FCR, funded there is money available through the Library to pay APCs.

Plan S

Plan S is an initiative for Open Access publishing that was launched in September 2018. The plan is supported by **cOAlition S**, an international consortium of research funders. Plan S requires that, from 2020, scientific publications that result from research funded by public grants must be published in compliant Open Access journals or

Share and

UoH Research Portal



Welcome to University of Huddersfield Research Portal

All content ▾ Explore profiles, expertise and research at University of Huddersfield 🔍 ⚙️

944 Profiles 172 Research Units 14412 Research Output 2890 Activities 138 Projects

We invite you to search the University of Huddersfield Research Portal for details of the research activity conducted at the university you can search by academic name, organisational structure including School, department, research institute and centre. This portal enables you to understand the research expertise at the university and visualise the connections we have internally and with the outside world.

[Additional information about research at the University of Huddersfield can be viewed via university's website](#)

Collaborations and top research areas from the last five years

Click dots and donuts to bring up details.

A world map with numerous blue dots of varying sizes representing research locations. Lines connect these dots, indicating collaborations between different geographical areas. The dots are most densely clustered in Europe and North America.

Survey – Autumn 2018

- Curious to see how other institutions were supporting their researchers
- Informal benchmarking
- Identify areas of good practice



50 respondents (32 Library/18 RO)



Teaching
Excellence
Framework

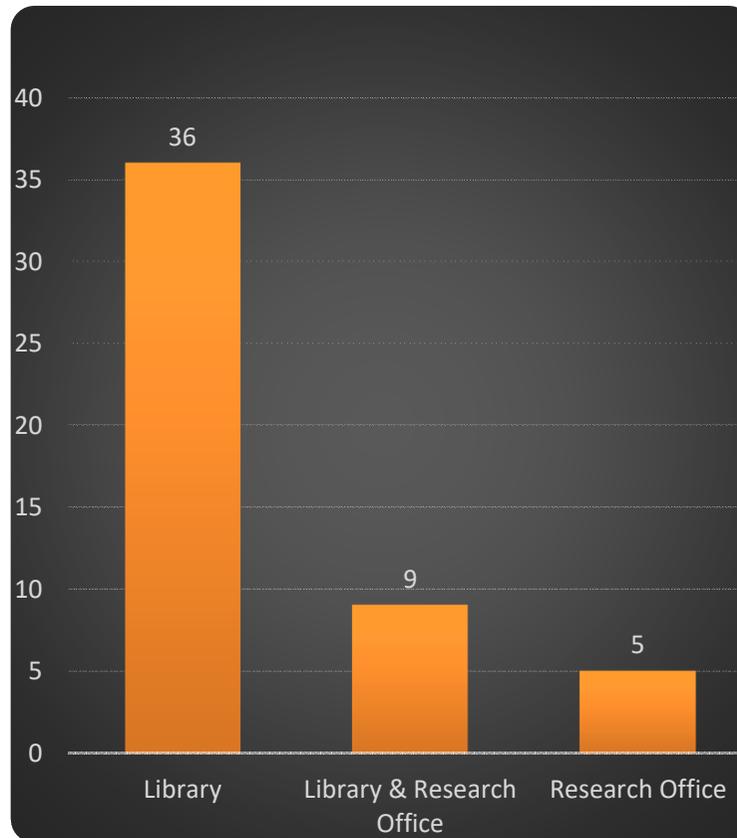


HEA
Global Teaching
Excellence Award

Survey questions

- Who manages your Open Access issues?
- Who administers and pays for APCs?
- Who validates research outputs i.e. Checks metadata for REF compliance in your CRIS or Institutional Repository?
- Who looks after RDM?
- Who reports to JISC /UKRI?
- Who is responsible for outreach/training for academics/support staff in relation to any of the above?
- How many staff are responsible for the above ?

Who manages Open Access?



- 72% of Libraries provide advice on & management of Open Access issues/queries
- One fifth of respondents had joint responsibility
- Just 10% of Research Offices had sole ownership
- Libraries benefit from good oversight and intervention from their Research Office
- Open Access working groups are common

Open Access Management Quotes

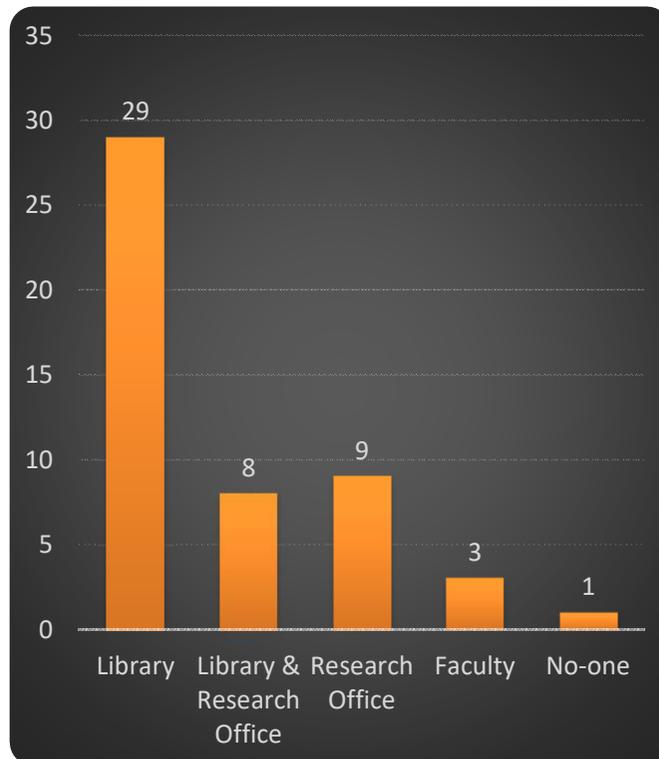
Library - policy decisions are made with the RO and via the University Research Committee

Library – although we work closely with the Research Office regarding REF compliance

The Research Office are 'OA lead' and manage the CRIS, but library manage the repository

Library, but it only covers publications and excludes anything related to APCs

Who administers (& pays for) APCs?



- Wide variety of budgeting arrangements exist here
- 60% managed mainly by the Library
- Where joint management exists often the Library that paid for APCs whilst the Research Office held the budget

Quotes about APC management

University policy is to follow the Green route, but in exceptional cases application may be made to the director of a School and it comes out of the School budget

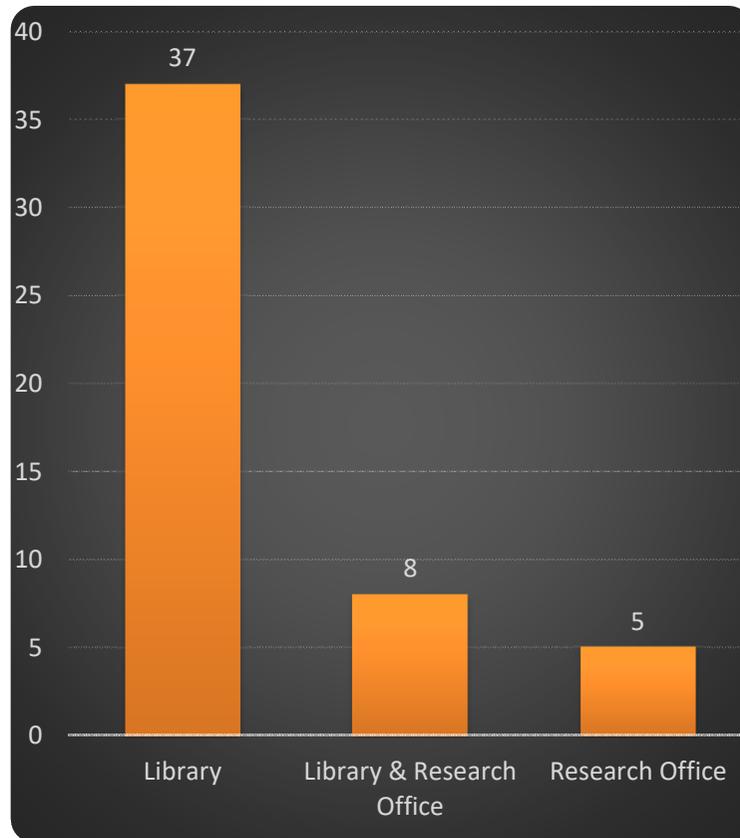
The departments actually do the invoice paying but the Library controls the allocation of funding

The budget belongs to the Head of Research but APCs are paid for and administered by the Library

Research Office allocates funds and the Library deals with procuring/renewing and signing e-resources licences that include offsetting clauses

Nobody, as we don't have any budget 😞

Who validates research outputs?



- Who checks metadata for REF compliance in CRIS or Institutional repository?
- In 75% of cases the library is responsible
- Validation has moved from Library to Research Office at UoH
- Wherever this validation takes place, close collaboration is key to comply with the next REF's OA compliancy mandates

Quotes about validation & checking

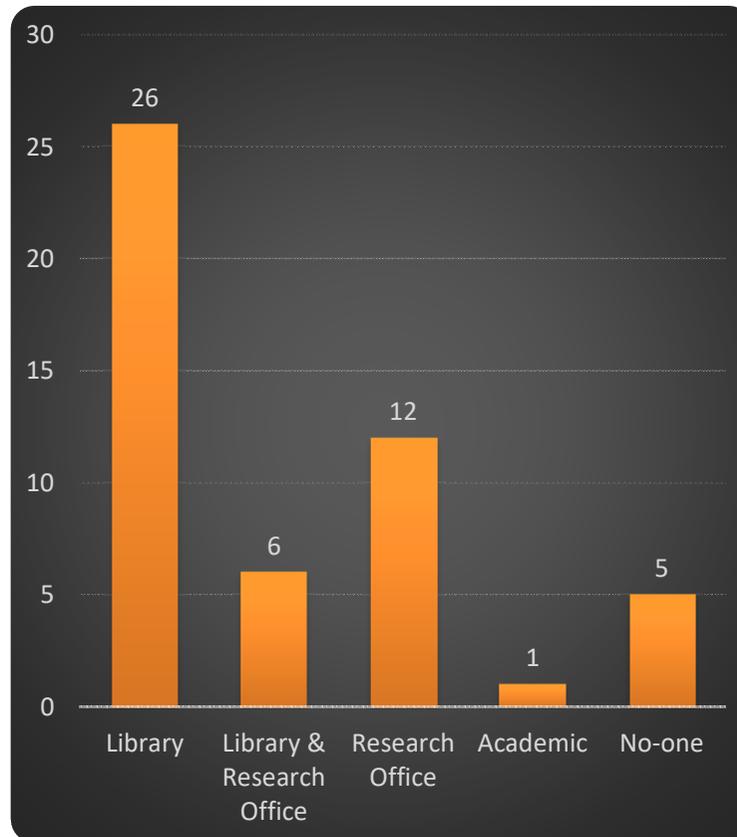
The Library, though professional services staff in the departments will also be doing checks

We (library) process/review them, check for compliance, set and release from embargo. For REF validation it falls to the individual Departments OA contact. The CRIS is managed by Research Services, the IR by us.

Library through our Eprints repository but Research Office manages the REF quality review of outputs process

This is split between REF assisted deposit and Library. Exceptions also involve Research Office

What about Research Data Management?



- Many library & research services provide specialist support, advice and training for RDM
- Wide variety of support
- Growth area and not fully embedded in some places
- RDM managers sit in both departments

RDM quotes

Library with support from Archives and IT services

The Open Research team includes an RDM manager

The Library are the experts and lead on this institutionally but our Research Office team are trained in the basics and good at knowing when to refer things on to us

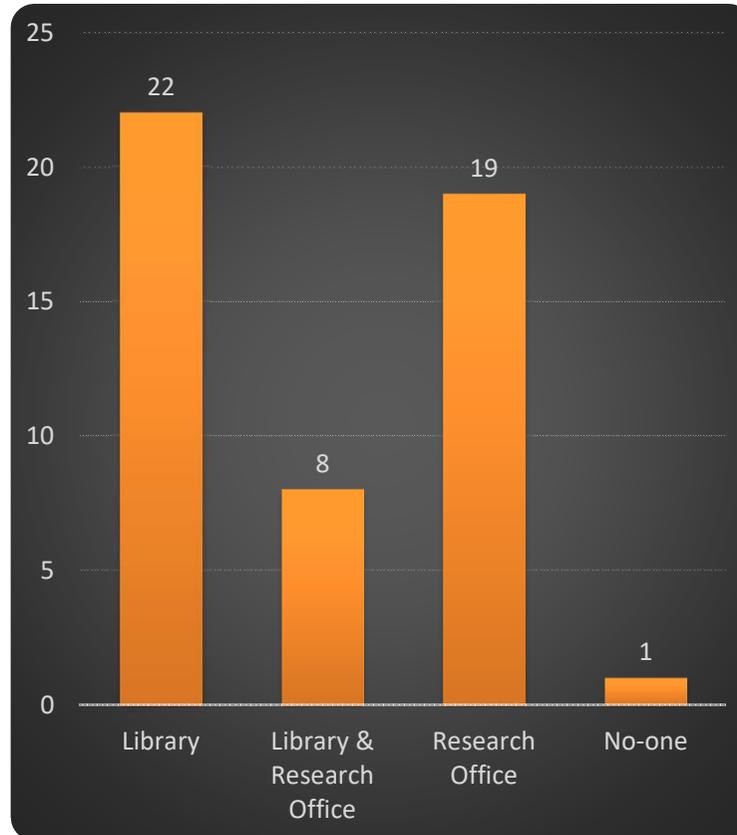
Currently RDM is a project, managed by Research Services with a Library-based Project Officer. Responsibility for going forward into business as usual is TBA

This is a sore point every researcher does their own thing

Nobody... ☹️

Under discussion.....

Who is responsible for reporting?



- A real split on this one, perhaps because one department holds the budget and the other collates the figures?
- Different reporting bodies & shifting goalposts for reporting data by the likes of JISC and UKRI
- Research Office for financial accounting & REF with input from library on compliance rates

Reporting Quotes

Library for Jisc, APCs, block grant and Research Office for REF reporting

Research office - we think...

Various parts of the university including Research & Enterprise

Library (but we run any reports past the Research Office before submission)

- Collaborate with Researcher Environment Team to develop training materials for PGRs & staff
- Provide a blended approach to researcher training via online and f2f material
- Training delivered collaboratively
- Library research support activities inc. Game of Open Access; Open Access Escape Room
- Review & evaluate how best to engage with research staff
- What else do they need support on – Plan S?

Outreach quotes

Joint responsibility- we take whatever opportunities we can!

The Open Research team is responsible, but we work with the Faculty Engagement Librarians and Research Development program (PhD training programme) so we have multiple channels of communication with the academics

Partly Innovation and Enterprise, partly Subject Librarians

Our CRIS is managed through the Research Office and hence training for this, which encompasses OA, is organised by them (but we deliver it)

- Research intensive universities have more staff in the majority of cases
- Teaching intensive universities have smaller teams or it is often an add-on to a existing role
- More 'Offices of Scholarly Communications' e.g. Cambridge, Kent, Brunel, Imperial that span both library and research departments
- More functional teams within libraries to support research, T&L, academic engagement e.g. Manchester, York

Staffing resource

5 open access support assistants (likely to increase because of the rise of deposits for ref); 1 OA manager; 1 RDM manager; 1 RDM support assistant

In my view 1.6 FTE specialist staff really isn't enough for an institution our size, but I'm sure everyone thinks that about the resource they have!

It is equivalent to 1.5 FTE (3 people), though we are soon to go out for 1.0FTE for a fixed term person to work on the REF

About 17 people (8 OA, 2 RDM, 2 repository, 1 training, 1 outreach, 1 project manager, 1 research, 1 manager). Then there are another 4 in the Research Information Office and several more in the REF team. Not everyone is full time though!

We're very much a shoe-string operation and no posts are dedicated to it, it's all fitted in around existing roles

Mostly 2: 1 library, 1 Research office. Other support occasionally

- All stakeholders can & do work well together
- Regular meetings to share information and form cross institutional bonds
- Combined outreach / training sessions
- Clear and consistent message
- Signpost where appropriate
- Review how best to engage with staff / UX
- RDM needs embedding further

Communication, cooperation , collaboration



- Communicate – via cross institutional meetings; OA working groups
- Share best practice & exchange ideas
- Trust in each other's strengths
- Collaborate – training support & advice – PGR events
- Value realisation

Further reading

- Daoutis, C. A., & Rodriguez-Marquez, M. d. M. (2018). **Library-mediated deposit: A gift to researchers or a curse on open access? reflections from the case of Surrey.** *Publications*, 6(2), 20; <https://doi.org/10.3390/publications6020020>
- Hoodless, C. & Pinfield, S. (2016). Subject vs. functional :should subject librarians be replaced by functional specialists in academic libraries?. *Journal of librarianship and information science*, 50 (4), 345-360.
[doi:10.1177/0961000616653647](https://doi.org/10.1177/0961000616653647)
- Lang, L., Wilson, T., Wilson, K., & Kirkpatrick, A. (2018). **Research support at the crossroads: Capability, capacity, and collaboration.** *New Review of Academic Librarianship*, 24:3-4, 328-338, DOI: [10.1080/13614533.2018.1460727](https://doi.org/10.1080/13614533.2018.1460727)
- Sewell, C. & Kingsley, D. (2017) **Developing the 21st Century Academic Librarian: The Research Support Ambassador Programme,** *New Review of Academic Librarianship*, 23:2-3, 148-158, DOI: [10.1080/13614533.2017.1323766](https://doi.org/10.1080/13614533.2017.1323766)

Questions

